

**Thames-Coromandel District Council
Internal Audit Work Programme - Undertaken by Deloitte**

Standing Reviews 3 Year Rotation Plan	Year 1				Year 2				Year 3				Progress
	2008		2009		2010		2011						
	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	
Development Contribution Policy & Practices	✓												Audit Committee 15.12.08
Vested Asset Policy and Practices		✓											Audit Committee 16.02.09
Taxation Compliance			✓										Audit Committee 15.06.09
Capital Expenditure Management				✓									Audit Committee 21.12.08
Capital Works Planning Process				✓									Audit Committee 21.12.09
Revenue Stream Management					✓								Audit Committee 15.03.10
Policy and Decision-making						✓							Audit Committee 21.06.10
Contracted Services Compliance							✓						Audit Committee 27.09.10
Service Delivery Contract Policy, Practices and Change Management							✓						
Staff Resourcing and HR Practices								✓					Audit Committee 27.09.10
Sensitive Expenditure Management										✓			Audit Committee 14.03.11
Compliance with the Auditor-General's Service Performance Audit Guidelines, AG-4 Revised										✓			Audit Committee 21.09.11
Budgeting process											✓		Audit Committee 21.09.11

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Targeted and Specific Review Projects	Year 1				Year 2				Year 3				Progress
	2008		2009		2010		2011						
	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	
Fixed Asset Review - Parks, Reserves and Roding							✓						Submitted to Audit Committee 21.06.10

Recommendations for 2011-2014 Internal Audit Work Programme	Year 1				Year 2				Year 3				Progress
	2011		2012		2013		2014						
	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	
Tender Management Process													Deferred from Jan-Mar 2010
Risk Management Control Assessment and Reporting													Deferred from Jul-Sep 2010
Information Technology Security													Deferred from Oct-Dec 2011
Business Continuity/Disaster Recovery Planning													Deferred from Oct-Dec 2011
Business Sustainability Planning Practices													Deferred from Jan-Mar 2011
Information Management Policy and Practices													Deferred from Apr-Jun 2011

Special Investigation - Audit Committee Request	Year 1				Year 2				Year 3				Progress
	2008		2009		2010		2011						
	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	Jul - Sep	Oct - Dec	Jan - Mar	Apr - Jun	
Mercury Bay Capital Expenditure - Audit													Submitted to Audit Committee 14.12.11